

Collaborative Research and Ethics Approval Policy

Section 1 - Chief Investigators

(1) Where a member of staff of the University of Newcastle is the chief investigator on a research project involving human participants and collaboration with researchers from other institutions, an application must be made to the Human Research Ethics Committee for ethical clearance prior to the research commencing.

Section 2 - Associate or Co-investigators

(2) Where a member of staff of the University of Newcastle is an associate researcher or co-investigator on a research project involving human participants which is initiated at another institution, an application to the Human Research Ethics Committee for ethical clearance is required if any of the following apply:

- a. A co-investigator is a student of the University of Newcastle and the research is to be used towards meeting the requirements for a course of study; or
- b. The research is to be conducted at the University of Newcastle; or
- c. A research grant or contract for the project is to be administered by the University of Newcastle; or
- d. It is intended to use the letterhead of the University of Newcastle in any documentation provided to study participants; or
- e. The research has not been approved by an institutional human ethics committee recognised by the [National Health and Medical Research Council](#) (NHMRC) in Australia, or its equivalent body in other countries.

(3) In all other cases of collaborative research involving human participants (i.e., where Clauses 1 and 2 do not apply), co-investigators who are employees of the University of Newcastle are required to:

- a. Hold written evidence that the project has been approved by an institutional human ethics committee recognised by the [National Health and Medical Research Council](#) (NHMRC) in Australia, or its equivalent body in other countries.
- b. Prior to the research commencing, forward a copy of the written evidence of ethical approval to the Human Research Ethics Officer. Failure to comply with this requirement may compromise your cover under the provisions of The University of Newcastle's professional indemnity insurance.

Status and Details

Status	Historic
Effective Date	3rd July 2015
Review Date	31st December 2019
Approval Authority	Vice-Chancellor
Approval Date	3rd July 2015
Expiry Date	12th August 2018
Responsible Executive	Zee Upton Deputy Vice-Chancellor (Research and Innovation)
Enquiries Contact	Research Ethics and Integrity Unit

Glossary Terms and Definitions

"Student" - A person formally enrolled in a course or active in a program offered by the University or affiliated entity.

"Research" - As defined in the Australian Code for the Responsible Conduct of Research, or any replacing Code or document.